

# An Improvement of Non-binary Code Correcting Single $b$ -Burst of Insertions or Deletions

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## Background (1: Purpose)

$b$ -burst insertion/deletion channel

Exactly  $b$  consecutive insertions/deletions occurs to  $\mathbf{x}$

Example: 3-burst deletion

$$\mathbf{x} = (x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8, x_9, x_{10}, x_{11}, x_{12})$$

$$\mathbf{y} = (y_1, y_2, y_3, y_4, y_5, y_6, y_7, y_8, y_9)$$

Received word  $\mathbf{y}$  becomes the concatenation of remaining sequence

Purpose of this research

Constructing non-binary ( $q$ -ary) code correcting  $b$ -burst insertion/deletion

## Background (2: Existing works, Contribution, Outline)

### Existing works of I/D codes

	Binary	Non-binary
Single I/D	VT code [Varshamov1965] (proved by [Levenshtein1966])	qVT code [Tenengolts1984]
Burst I/D	[Cheng2014] ↓ Improve [Schoney2017-04]	[Schoney2017-10] ↓ Improve <b>This work</b>

### Contributions of this research

- Constructing non-binary burst I/D code with larger cardinality
- Devising decoding algorithm for the code
- Evaluating number of codewords (Numerical and Asymptotic)

### Outline

- 1 Existing works
- 2 Code construction
- 3 Number of Codewords

## Existing Work (1: Single I/D codes)

### VT code: Binary single I/D code

$n$ : code length,  $a \in [n+1] := \{0, 1, 2, \dots, n\}$

$$\text{VT}_a(n) = \{\mathbf{x} \in \{0, 1\}^n \mid \sum_{i=1}^n ix_i \equiv a \pmod{n+1}\}.$$

### Non-binary VT code: non-binary single I/D code

$q$ : number of alphabet,  $a \in [n], c \in [q]$

$$q\text{VT}_{a,c}(n, q) = \{\mathbf{x} \in [q]^n \mid \sum_{i=1}^n x_i \equiv c \pmod{q}, \sigma(\mathbf{x}) \in \text{VT}_a(n-1)\}.$$

Ascent sequence  $\sigma(\mathbf{x})$   $\sigma : [q]^n \rightarrow \{0, 1\}^{n-1}$

$$\sigma(\mathbf{x})_i = \begin{cases} 1 & (x_i < x_{i+1}) \\ 0 & (x_i \geq x_{i+1}) \end{cases}$$

$\mathbf{x}$  = 30133212  
 $\sigma(\mathbf{x})$  = 0110001 ascent sequence

## Existing Work (2: Burst I/D codes 1)

$b$ -Interleave (Matrix representation of  $\mathbf{x}$ )

$$A_b(\mathbf{x}) = \begin{pmatrix} x_1 & x_{b+1} & \cdots & x_{n-b+1} \\ x_2 & x_{b+2} & \cdots & x_{n-b+2} \\ \vdots & \vdots & \ddots & \vdots \\ x_b & x_{2b} & \cdots & x_n \end{pmatrix}.$$

Example: 3-interleaving  $\mathbf{x} = (x_1, x_2, x_3, x_4, x_5, x_6, x_7, x_8, x_9, x_{10}, x_{11}, x_{12})$

$$A_3(\mathbf{x}) = \begin{pmatrix} x_1 & x_4 & x_7 & x_{10} \\ x_2 & x_5 & x_8 & x_{11} \\ x_3 & x_6 & x_9 & x_{12} \end{pmatrix}$$

- Each row contains single deletion
- The deletion position of 1st row gives deletion range of other rows

## Existing Work (3: Burst I/D codes 2)

### Detection of Deletion Position:

Even if we correct a deletion, we cannot detect deletion position

$$\mathbf{x} = (1, 0, 0, 1, 1, 1)$$

$$\mathbf{x}_{-2} = (1, \quad, 0, 1, 1, 1)$$

$$\mathbf{x}_{-3} = (1, 0, \quad, 1, 1, 1)$$

The received words are same if a deletion occurs in a same run.

One cannot detect which symbol in a run is deleted

$$A_b(x) = \begin{pmatrix} \cdots & \cdots & 1 & \boxed{0 \ 0 \ \cdots \ 0} & 1 & \cdots & \cdots \\ \cdots & \cdots & \boxed{* \ * \ * \ \cdots \ *} & * & \cdots & \cdots \\ \vdots & \vdots & & \vdots & \vdots & \vdots \\ \cdots & \cdots & \boxed{* \ * \ * \ \cdots \ *} & * & \cdots & \cdots \end{pmatrix}$$

run

range of deletion position

- We need to limit the run length of 1st row
- We use a code correcting single deletion with given deletion range

## Existing Work (4: Burst I/D codes 3)

Framework of  $b$ -burst deletion correcting code

$$A_b(\mathbf{x}) = \underbrace{\left( \begin{array}{l} \text{Single I/D code with maximum run length } r \\ (r+1)\text{-bounded single deletion correcting code} \\ \vdots \\ (r+1)\text{-bounded single deletion correcting code} \end{array} \right)}_{n/b}$$

$(r+1)$ -bounded single deletion correcting code

Correcting single deletion with side information of deletion position

(Side information)  $(r+1)$ -consecutive deletion positions

## Existing Work (5: Burst I/D codes 4)

$b$ -burst I/D code [Schonery2017-04]

$$C_{2,b} = \{\mathbf{x} \in \{0, 1\}^n \mid A_b(\mathbf{x})_1 \in VT_a(n/b) \cap S_2(n/b, r), \\ A_b(\mathbf{x})_2 \in SVT_{d,e}(n/b, r+1), \\ \vdots \\ A_b(\mathbf{x})_b \in SVT_{d,e}(n/b, r+1)\}$$

$S_2(n/b, r)$ : set of binary sequence of length  $n/b$  with maximum run length at most  $r$

### Shifted VT (SVT) code

$r$ -bounded single deletion code

$$SVT_{d,e}(n, r) = \{\mathbf{x} \in \{0, 1\}^n \mid \sum_{i=1}^n ix_i \equiv d \pmod{r}, \\ \sum_{i=1}^n x_i \equiv e \pmod{2}\}$$

$$d \in [r], e \in \{0, 1\}$$



## Existing Work (6: Non-binary Burst I/D codes)

### Non-binary SVT code

$$q\text{SVT}_{d,e,f}(n, r, q) := \{ \mathbf{x} \in [q]^n \mid \sum_{i=1}^n x_i \equiv f \pmod{q}, \\ \sigma(\mathbf{x}) \in \text{SVT}_{d,e}(n-1, r) \}$$

[Schoney2017-11, Lemma 1] Correction capability of NB-SVT code

$q\text{SVT}_{d,e,f}(n, r, q)$  is  $(r-1)$ -bounded single deletion correcting code

### Non-binary $b$ -burst I/D code [Schoney2017-11]

$$\check{C}_{q,b} = \{ \mathbf{x} \in [q]^n \mid A_b(\mathbf{x})_1 \in q\text{VT}_{a,b}(n/b, q) \cap S_q(n/b, r), \\ A_b(\mathbf{x})_2 \in q\text{SVT}_{d,e,f}(n/b, r+2, q), \\ \vdots \\ A_b(\mathbf{x})_b \in q\text{SVT}_{d,e,f}(n/b, r+2, q) \}$$

# Main Result

## Non-binary SVT code

$$q\text{SVT}_{d,e,f}(n, r, q) := \{ \mathbf{x} \in [q]^n \mid \sum_{i=1}^n x_i \equiv f \pmod{q}, \\ \sigma(\mathbf{x}) \in \text{SVT}_{d,e}(n-1, r) \}$$

[Theorem 2] Correction capability of NB-SVT code

$q\text{SVT}_{d,e,f}(n, r, q)$  is  $r$ -bounded single deletion correcting code

## Non-binary $b$ -burst I/D code [This work]

$$C_{q,b} = \{ \mathbf{x} \in [q]^n \mid A_b(\mathbf{x})_1 \in q\text{VT}_{a,b}(n/b, q) \cap S_q(n/b, r), \\ A_b(\mathbf{x})_2 \in q\text{SVT}_{d,e,f}(n/b, r+1, q), \\ \vdots \\ A_b(\mathbf{x})_b \in q\text{SVT}_{d,e,f}(n/b, r+1, q) \}$$

(Proof of Theorem 2) Straightforward but long... See arXiv:1804.04824

## Number of Codewords (1: Lower bound 1)

$$C_{q,b} = \{\mathbf{x} \in [q]^n \mid A_b(\mathbf{x})_1 \in q\text{VT}_{a,b}(n/b, q) \cap S_q(n/b, r), \\ A_b(\mathbf{x})_i \in q\text{SVT}_{d,e,f}(n/b, r+1, q) \text{ for } i \in [2, b]\}$$

[Lemma 5] Lower bound of # of run length limited sequences

$$|S_{n,q}(r)| \geq (q^r - n)q^{n-r}.$$

[Lemma 6] Lower bound of  $|A_b(\mathbf{x})_1|$

$$\max_{a \in [n], c \in [q]} |q\text{VT}_{a,c}(n/b, q) \cap S_q(n/b, r)| \geq \frac{(q^r - n)q^{n-r}}{nq} = \frac{(q^r - n)q^{n-r-1}}{n}.$$

[Lemma 7] Lower bound of non-binary SVT code

$$\max_{d \in [r+1], e \in [2], f \in [q]} |q\text{SVT}_{d,e,f}(n, r+1, q)| \geq \frac{q^n}{2(r+1)q} = \frac{q^{n-1}}{2(r+1)}.$$

(Lemma 5) From Union bound

(Lemma 6,7) Pigeonhole principle

## Number of Codewords (2: Lower bound 2)

$$C_{q,b} = \{\mathbf{x} \in [q]^n \mid A_b(\mathbf{x})_1 \in q\text{VT}_{a,b}(n/b, q) \cap S_2(n/b, r), \\ A_b(\mathbf{x})_i \in q\text{SVT}_{d,e,f}(n/b, r+1, q) \text{ for } i \in [2, b]\}$$

[Theorem 4] Lower bound of  $|C_{q,b}|$

For all  $r$ , the cardinality of  $C_{q,b}$  satisfies

$$\max |C_{q,b}| \geq \frac{q^{n-b}}{n} \frac{b - nq^{-r}}{2^{b-1}(r+1)^{b-1}}.$$

Substituting  $r = \log_q n$ , we get

$$\max |C_{q,b}| \geq \frac{2q^{n-b}}{n} \cdot \frac{b-1}{2^b(\log_q n + 1)^{b-1}}.$$

Its redundancy (the number of extra symbols:  $n - \log_q |C|$ ) is

$$b + \log_q n - \log_q(b-1) + (b-1)\log_q 2 + (b-1)\log_q(\log_q n + 1).$$

## Number of Codewords (3: Upper bound of the best code)

$M_{q,b}(n)$ :  $q$ -ary  $b$ -burst I/D code with largest cardinality

### [Theorem 5]

For enough large  $n$ , the following holds:

$$|M_{q,b}(n)| \leq \frac{q^{n-b+1}}{(q-1)n}$$

Upper bound of redundancy for  $M_{q,b}(n)$  is

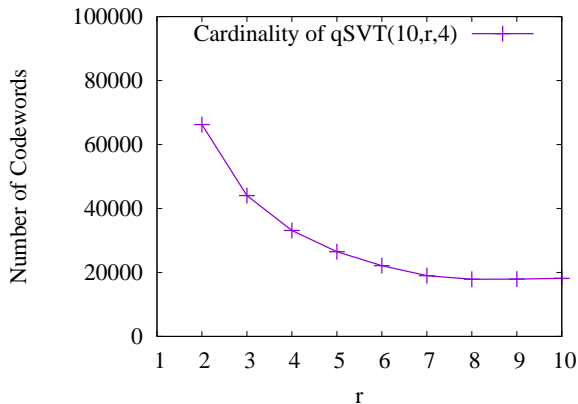
$$b - \log_q 2 + \log_q n$$

Gap of redundancy between  $C_{q,b}$  and  $M_{q,b}(n)$  is

$$\begin{aligned} & 1 - \log_q(q-1) - \log_q(b-1) + (b-1)\log_q 2 + (b-1)\log_q[\log_q n + 1] \\ & = O(\log_q \log_q n) \end{aligned}$$

Fraction of gap of redundancy  $O\left(\frac{\log_q \log_q n}{n}\right)$

## Number of Codewords (4: Numerical Example)



$$n = 10, q = 4$$

The number of codewords decreases for small  $r$

$\Rightarrow$  For  $r = \log_q n$ ,  $|qSVT_{d,e,f}(n, r, q)| > |qSVT_{d,e,f}(n, r + 1, q)|$

$\Rightarrow$  The proposed code has larger cardinality than [Schoney2017-11]

## Conclusion

- We construct non-binary  $b$ -burst I/D code
  - We refine the correction capability of non-binary SVT code
- We devise its decoding algorithm
- We evaluate the cardinality of the code